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C835 U.S. PTO

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Approved for use through 9/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION AND FEE TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. CELL0013

Date: May 24, 2000

Inventor(s): Thomas Schwalbe, Sebastian Oberbeck, Klaus Golbig, Michael Hohmann, and Andreas Oberbeck

Title MODULAR CHEMICAL PRODUCTION SYSTEM INCORPORATING A MICROREACTOR

FULL NAME OF FIRST NAMED INVENTOR: Thomas Schwalbe COUNTRY OF CITIZENSHIP: Germany

RESIDENCE ADDRESS OF INVENTOR: Lessingstrasse 3, D-61118 Bad Vilbel, Germany

FULL NAME OF SECOND NAMED INVENTOR: Sebastian Oberbeck COUNTRY OF CITIZENSHIP: Germany

RESIDENCE ADDRESS OF INVENTOR: Dianaburgstrasse 19, D-35753 Greifenstein, Germany

FULL NAME OF THIRD NAMED INVENTOR: Klaus Golbig COUNTRY OF CITIZENSHIP: Germany

RESIDENCE ADDRESS OF INVENTOR: Eichenheege 20, D-63477 Maintal-Doernigheim, Germany

FULL NAME OF FOURTH NAMED INVENTOR: Michael Hohmann COUNTRY OF CITIZENSHIP: Germany

RESIDENCE ADDRESS OF INVENTOR: Dieburger Strasse 238, D-64287 Darmstadt, Germany

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal (BELOW)
2. ☒ Specification [Total Pages 40]
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 10] [FORMAL]
4. ☒ Oath or Declaration [Total Pages 3]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Item 5 below]
 - ii. ☐ DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. ☐ Incorporation By Reference (useable if Box 4b is check)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)

7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

a. ☐ Computer Readable Copy b. ☐ Paper Copy (identical to computer copy) c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))

9. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) _____ Power of Attorney

10. ☐ English Translation Document (if applicable)

11. ☐ Information Disclosure Statement (IDS)/PTO-1449 _____ Copies of IDS Citations

12. ☐ Preliminary Amendment

13. ☒ Return Receipt Postcard (MPEP 503) (Should be itemized specifically)

14. ☒ *Small Entity Statement(s) _____ Statement filed in prior application (PTO/SB/09-12) _____ Status still proper and desired

*A new statement is required to be entitled to pay small entity fees, except where one has been filed in a prior application and is being relied upon.

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)

16. ☐ Other: _____

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation _____ Divisional _____ Continuation-in-part (CIP) _____ of prior application No: _____ /

Prior application information: Examiner: _____ Group / Art Unit: _____

18. CORRESPONDENCE ADDRESS

Correspondence address below:

Ronald M. Anderson
LAW OFFICES OF RONALD M. ANDERSON
600 - 108th Avenue N.E., Suite 507
Bellevue, WA 98004

Telephone: 425-688-8816

Facsimile: 425-646-6314

FEE TRANSMITTAL																																																					
METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																			
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number <u>01-1940</u> Deposit Account Name: <u>Law Offices of Ronald M. Anderson</u> <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 & 1.17 <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance		3. ADDITIONAL FEES (Continued)																																																			
2. <input checked="" type="checkbox"/> Payment Enclosed: <u>4464</u> <input checked="" type="checkbox"/> Check (No. <u>4464</u>) <input type="checkbox"/> Money Order <input type="checkbox"/> Other Payment NOT Enclosed:		<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 80%;">Fee Description</th> <th style="width: 20%;">Fee Paid</th> </tr> </thead> <tbody> <tr><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>Extension for reply within first month</td><td></td></tr> <tr><td>Extension for reply within second month</td><td></td></tr> <tr><td>Extension for reply within third month</td><td></td></tr> <tr><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>Notice of Appeal</td><td></td></tr> <tr><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>Request for oral hearing</td><td></td></tr> <tr><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>Design issue fee</td><td></td></tr> <tr><td>Plant issue fee</td><td></td></tr> <tr><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>Submission of Information Disclosure Statement</td><td></td></tr> <tr><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>Submission after final rejection (37 C.F.R. 1.129(a))</td><td></td></tr> <tr><td>Add'l invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td>Other fee (specify)</td><td></td></tr> <tr><td colspan="2" style="text-align: right;">SUBTOTAL (3)</td></tr> <tr><td colspan="2" style="text-align: right;">\$</td></tr> </tbody> </table>		Fee Description	Fee Paid	Requesting publication of SIR after Examiner action		Extension for reply within first month		Extension for reply within second month		Extension for reply within third month		Extension for reply within fourth month		Extension for reply within fifth month		Notice of Appeal		Filing a brief in support of an appeal		Request for oral hearing		Petition to institute a public use proceeding		Petition to revive - unavoidable		Petition to revive - unintentional		Utility issue fee (or reissue)		Design issue fee		Plant issue fee		Petitions to the Commissioner		Petitions related to provisional applications		Submission of Information Disclosure Statement		Recording each patent assignment per property (times number of properties)		Submission after final rejection (37 C.F.R. 1.129(a))		Add'l invention to be examined (37 CFR 1.129(b))		Other fee (specify)		SUBTOTAL (3)		\$	
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	Design filing fee	\$																																																			
	Plant filing fee	\$																																																			
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	Provisional filing fee	\$																																																			
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2. EXTRA CLAIM FEES																																																					
	Extra Claims	Fee from below	Fee Paid																																																		
Total Claims	71 - 20 = 51 x	\$9 =	\$ 459																																																		
Independent Claims	7 - 3 = 4 x	\$39 =	\$ 156																																																		
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FEES (SUBTOTALS (1) & (2))		\$ 960																																																			
3. ADDITIONAL FEES																																																					
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Surcharge - late provisional filing fee or cover sheet																																																					
Non-English specification																																																					
Request for reexamination																																																					
Requesting publication of SIR after Examiner action																																																					
TOTAL FEES ENCLOSED: \$ 960																																																					

Typed/Printed Name

Ronald M. Anderson

Registration Number: 28,829

Signature

Ron Anderson

Date: May 24, 2000

EXPRESS MAIL CERTIFICATE

Express Mail Label No. EL542941119US

Date of Deposit: May 24, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Kathy L. Harris

(Name of person mailing paper/fee)

Kathy L. Harris
(Signature of person mailing paper or fee)